



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov



Bib Data Sheet

CONFIRMATION NO. 9309

| | | | | |
|------------------------------------|---|---------------------|-------------------------------|--|
| SERIAL NUMBER 09/933,960 | FILING OR 371(c) DATE 08/20/2001 RULE | CLASS 372 | GROUP ART UNIT 2881 | ATTORNEY DOCKET NO. D/97005IID |
|------------------------------------|---|---------------------|-------------------------------|--|

APPLICANTS

Christopher L. Chua, San Jose, CA;
 Robert L. Thornton, Los Altos, CA;
 David W. Treat, San Jose, CA;

**** CONTINUING DATA *******

This application is a DIV of 09/389,160 09/02/1999 PAT 6,304,588
 which is a CIP of 09/364,614 07/29/1999 ABN
 which is a CIP of 08/940,867 09/30/1997 PAT 5,978,408
 which claims benefit of 60/037,175 02/07/1997

**** FOREIGN APPLICATIONS *********IF REQUIRED, FOREIGN FILING LICENSE GRANTED****** 04/13/2005**

| | | | | |
|---|-----------------------------------|---------------------------------|------------------------------|------------------------------------|
| Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no | STATE OR COUNTRY CA | SHEETS DRAWING 19 | TOTAL CLAIMS 1 | INDEPENDENT CLAIMS 1 |
| 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance | | | | |
| Verified and Acknowledged | Examiner's Signature | Initials | | |

ADDRESS

Patent Documentation Center
 Xerox Corporation
 Xerox Square 20th Floor
 100 Clinton Ave. S.
 Rochester, NY 14644

TITLE

Method and structure for eliminating polarization instability in laterally - oxidized VCSELs

| | | |
|---------------------------------------|---|---|
| FILING FEE RECEIVED 710 | FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following: | <input type="checkbox"/> All Fees |
| | | <input type="checkbox"/> 1.16 Fees (Filing) |
| | | <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) |
| | | <input type="checkbox"/> 1.18 Fees (Issue) |
| | | <input type="checkbox"/> Other _____ |
| | | <input type="checkbox"/> Credit |